

## POLICY ON NON-COMPLIANCE

*Effective October 1, 2016*

The following mitigation measures will be employed when incidents of non-compliance are confirmed.

**Actions taken to minimize occurrences of non-compliance will be based on the seriousness of the incident and/or the number of incidents that occur on all protocols under the direction of a PI.**

Concerns should be directed to the Animal Care & Use Office (ACUO) at [ACUOffice@umich.edu](mailto:ACUOffice@umich.edu) or (734) 763-8028.

The complete policy can be found, and should be reviewed, at [ucuca.umich.edu/update.htm](http://ucuca.umich.edu/update.htm).

# 1

### INCIDENT OF SERIOUS NON-COMPLIANCE

**Without harm to animals:**

Formal notice from IACUC describing importance of a compliant program.

**With harm to animals:**

Formal notice from IACUC describing importance of a compliant program and an action plan from the PI to prevent recurrence.

# 2

### INCIDENT OF SERIOUS NON-COMPLIANCE

All individuals listed as animal handlers on the protocol will be required to re-take an online training course on animal care and use at the U-M, **plus at least one or more of the following:**

- Prepare a training module for the Animal Care & Use Program
- Require direct supervision of individual(s) conducting procedures by veterinarian or ACUO personnel
- PI's research program put on probation with additional oversight
- Require PI to be present for ALL procedures conducted by laboratory
- Require scheduled reports to be submitted by PI

# 3

or more

### INCIDENT OF SERIOUS NON-COMPLIANCE

OR failure to satisfy previously imposed corrective actions will result in all individuals listed as animal handlers on the protocol being required to re-take an online training course on animal care and use at the U-M, **plus at least one or more of the following:**

- Restrict individual(s) access to animal facilities (i.e., loss of access or supervised access)
- Suspension of an individual's privilege (indefinitely or for a defined period of time) to conduct animal activities
- Suspension of a procedure within a protocol
- Suspension (for a defined period of time) of an approved protocol